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Organization

Patient Safety

A World Alliance for Safer Health Care

SAVE LIVES

Clean Your Hands

The burden of surgical site infections worldwide

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Outline

- Burden of SSI in high-income countries
- Burden of SSI in low- & middle-income countries (LMICs)
- Gaps in SSI surveillance with focus on LMICs

HAI burden in USA - 2002

- Incidence: **5–6%**; **1,7 million** affected patients
 - Urinary Tract Infection: 36%; 561,667 episodes, 13,088 deaths
 - **Surgical Site Infection: 20%**; 274,098 episodes (1.98%)
 - Catheter Related Bloodstream Infections: 11%; 250,000 episodes,
28,000 deaths
 - Ventilator Associated Pneumonia: 11%; 5.4/1000 ventilator-days
- Attributable mortality: **3.6%**, approximately **99,000 deaths**
- Annual economic impact: about **US\$ 4,5 billion**

Klevens RM, et al. Public Health Reports 2007

Relative incidence of specific types of HAI in the US

| Major site of Infection | Estimated Number of Infections |
|--|--------------------------------|
| Healthcare-Associated Infection (all HAI) | 1,737,125 |
| Surgical Site Infection (SSI) | 290,485 |
| Central Line Associated Bloodstream Infections (CLABSI)* | 92,011 |
| Ventilator-associated Pneumonia (VAP)** | 52,543 |
| Catheter associated Urinary tract Infection (CAUTI)*** | 449,334 |
| Clostridium difficile-associated disease (CDI) ¹⁷ | 178,000 |

Scott RD. http://www.cdc.gov/ncidod/dhqp/pdf/Scott_CostPaper.pdf.

Surgical Site Infection Rates in the US: NNIS 1992-2004

| Procedure | Risk 0 | Risk 1 | Risk 2 | Risk 3 |
|----------------|--------|--------|--------|--------|
| CABG | 1.25 | 1.5 | 5.4 | 9.8 |
| Small bowel | 4.97 | 7.1 | 8.63 | 11.6 |
| Abd hyster | 1.36 | 2.3 | 5.17 | --- |
| Hip prosthesis | 0.86 | 1.65 | 2.52 | --- |
| Laminectomy | 0.88 | 1.35 | 2.46 | --- |
| Colorectal | 3.98 | 5.66 | 8.54 | 11.25 |

Am J Infect Control 2004;32:470-85

Distribution of Rank Order of Selected Pathogens Associated with Healthcare-Associated Infections (HAIs) Reported to the Healthcare Safety Network, by Type of HAI, 2009–2010

| | Overall | | CLABSI | | CAUTI | | VAP | | SSI |
|----------------------------------|----------------------|------|----------------------|-------------------|----------------------|-------------------|----------------------|-------------------|----------------------|
| | No. (%) of pathogens | Rank | No. (%) of pathogens | Rank ^a | No. (%) of pathogens | Rank ^a | No. (%) of pathogens | Rank ^a | No. (%) of pathogens |
| <i>S. aureus</i> | 12,635 (15.6) | 1 | 3,735 (12.3) | 2 | 442 (2.1) | ... | 2,043 (24.1) | 1 | 6,415 (30.4) |
| <i>E. coli</i> | 9,351 (11.5) | 2 | 1,206 (4.0) | 9 | 5,660 (26.8) | 1 | 504 (5.9) | 6 | 1,981 (9.4) |
| Negative staphylococci | 9,261 (11.4) | 3 | 6,245 (20.5) | 1 | 467 (2.2) | ... | 72 (0.9) | ... | 2,477 (11.7) |
| <i>P. pneumoniae/oxytoca</i> | 6,470 (8.0) | 4 | 2,407 (7.9) | 5 | 2,365 (11.2) | 3 | 854 (10.1) | 3 | 844 (4.0) |
| <i>S. aeruginosa</i> | 6,111 (7.5) | 5 | 1,166 (3.8) | 10 | 2,381 (11.3) | 2 | 1,408 (16.6) | 2 | 1,156 (5.5) |
| <i>S. faecalis</i> | 5,484 (6.8) | 6 | 2,680 (8.8) | 3 | 1,519 (7.2) | 5 | 45 (0.5) | ... | 1,240 (5.9) |
| <i>S. sicca</i> | 4,275 (5.3) | 7 | 1,974 (6.5) | 7 | 1,887 (8.9) | 4 | 147 (1.7) | ... | 267 (1.3) |
| Other spp. | 3,821 (4.7) | 8 | 1,365 (4.5) | 8 | 880 (4.2) | 8 | 727 (8.6) | 4 | 849 (4.0) |
| <i>S. pneumoniae</i> spp. or NOS | 3,408 (4.2) | 9 | 2,465 (8.1) | 4 | 811 (3.8) | 9 | 36 (0.4) | ... | 96 (0.5) |
| <i>S. faecium</i> | 3,314 (4.1) | 10 | 2,118 (7.0) | 6 | 654 (3.1) | 10 | 25 (0.3) | ... | 517 (2.5) |
| Other spp. | 2,409 (3.0) | 11 | 703 (2.3) | 12 | 1,010 (4.8) | 7 | 11 (0.1) | ... | 685 (3.2) |
| Other spp. | 2,031 (2.5) | 12 | 232 (0.8) | ... | 1,013 (4.8) | 6 | 119 (1.4) | ... | 667 (3.2) |
| Other spp. | 1,737 (2.1) | 13 | 762 (2.5) | 11 | 204 (1.0) | ... | 386 (4.6) | 7 | 385 (1.8) |
| <i>K. pneumoniae</i> | 1,490 (1.8) | 14 | 629 (2.1) | 13 | 185 (0.9) | ... | 557 (6.6) | 5 | 119 (0.6) |
| Other spp. | 9,304 (11.5) | ... | 2,762 (9.1) | ... | 1,633 (7.7) | ... | 1,510 (17.8) | ... | 3,399 (16.1) |
| Total | 81,139 (100) | | 30,454 (100) | | 21,111 (100) | | 8,474 (100) | | 21,100 (100) |

TABLE 6. Distribution of Selected Pathogens Associated with Surgical Site Infections Reported to the National Healthcare Safety Network, by Type of Surgery, 2009–2010

| Pathogen | No. (%) of pathogens, by type of surgery ^a | | | | | | | | | | |
|--|---|----------------------------------|----------------------------|--------------------------------|--------------------------|-----------------------------------|-------------------------------|-----------------------------------|---------------------------------|-------------------------------|---------------------------|
| | Overall, <i>n</i> | Abdominal (<i>n</i> = 5,617) | Breast (<i>n</i> = 83) | Cardiac (<i>n</i> = 4,453) | Neck (<i>n</i> = 20) | Neurological (<i>n</i> = 433) | Ob/gyn (<i>n</i> = 2,124) | Orthopedic (<i>n</i> = 7,765) | Transplant (<i>n</i> = 250) | Vascular (<i>n</i> = 333) | Other (<i>n</i> = 22) |
| <i>Staphylococcus aureus</i> | 6,415 | 648 (11.5) | 31 (37.3) | 1,368 (30.7) | 3 (15.0) | 160 (37.0) | 418 (19.7) | 3,656 (47.1) | 17 (6.8) | 109 (32.7) | 5 (22.7) |
| <i>Escherichia coli</i> | 1,981 | 1,043 (18.6) | 3 (3.6) | 283 (6.4) | ... | 12 (2.8) | 274 (12.9) | 314 (4.0) | 24 (9.6) | 25 (7.5) | 3 (13.6) |
| Coagulase-negative staphylococci | 2,477 | 288 (5.1) | 16 (19.3) | 743 (16.7) | 1 (5.0) | 99 (22.9) | 189 (8.9) | 1,073 (13.8) | 39 (15.6) | 27 (8.1) | 2 (9.1) |
| <i>Klebsiella (pneumoniae/oxytoca)</i> | 844 | 305 (5.4) | 4 (4.8) | 261 (5.9) | 3 (15.0) | 15 (3.5) | 63 (3.0) | 159 (2.0) | 18 (7.2) | 15 (4.5) | 1 (4.5) |
| <i>Pseudomonas aeruginosa</i> | 1,156 | 316 (5.6) | 7 (8.4) | 350 (7.9) | 2 (10.0) | 14 (3.2) | 83 (3.9) | 341 (4.4) | 16 (6.4) | 26 (7.8) | 1 (4.5) |
| <i>Enterococcus faecalis</i> | 1,240 | 524 (9.3) | 1 (1.2) | 136 (3.1) | 2 (10.0) | 15 (3.5) | 176 (8.3) | 354 (4.6) | 16 (6.4) | 15 (4.5) | 1 (4.5) |
| <i>Candida albicans</i> | 267 | 153 (2.7) | 1 (1.2) | 59 (1.3) | ... | 7 (1.6) | 16 (0.8) | 22 (0.3) | 8 (3.2) | 1 (0.3) | ... |
| <i>Enterobacter</i> spp. | 849 | 254 (4.5) | 5 (6.0) | 228 (5.1) | 1 (5.0) | 31 (7.2) | 58 (2.7) | 238 (3.1) | 13 (5.2) | 20 (6.0) | 1 (4.5) |
| Other <i>Candida</i> spp. or NOS | 96 | 48 (0.9) | ... | 20 (0.4) | ... | 2 (0.5) | 4 (0.2) | 14 (0.2) | 6 (2.4) | 1 (0.3) | 1 (4.5) |
| <i>Enterococcus faecium</i> | 517 | 313 (5.6) | ... | 51 (1.1) | ... | 5 (1.2) | 26 (1.2) | 76 (1.0) | 38 (15.2) | 8 (2.4) | ... |
| <i>Enterococcus</i> spp. | 685 | 334 (5.9) | 4 (4.8) | 76 (1.7) | 1 (5.0) | 2 (0.5) | 87 (4.1) | 154 (2.0) | 13 (5.2) | 11 (3.3) | 3 (13.6) |
| <i>Acinetobacter baumannii</i> | 119 | 16 (0.3) | ... | 36 (0.8) | ... | 6 (1.4) | 8 (0.4) | 51 (0.7) | ... | 1 (0.3) | 1 (4.5) |
| <i>Streptococcus</i> spp. | 1,028 | 305 (5.4) | 1 (1.2) | 93 (2.1) | 1 (5.0) | 12 (2.8) | 162 (7.6) | 433 (5.6) | 9 (3.6) | 11 (3.3) | 1 (4.5) |
| <i>Proteus</i> spp. | 667 | 135 (2.4) | 5 (6.0) | 190 (4.3) | ... | 4 (0.9) | 86 (4.0) | 231 (3.0) | 4 (1.6) | 12 (3.6) | ... |
| <i>Serratia</i> spp. | 385 | 26 (0.5) | 1 (1.2) | 216 (4.9) | 1 (5.0) | 9 (2.1) | 21 (1.0) | 98 (1.3) | 3 (1.2) | 10 (3.0) | ... |
| Other ^b | 2,374 | 909 (16.2) | 4 (4.8) | 343 (7.7) | 5 (35.0) | 40 (9.2) | 453 (21.3) | 551 (7.1) | 26 (10.4) | 41 (12.3) | 2 (9.1) |
| Total | 21,100 | 5,617 (100) | 83 (100) | 4,453 (100) | 20 (100) | 433 (100) | 2,124 (100) | 7,765 (100) | 250 (100) | 333 (100) | 22 (100) |

Sievert DM, et al. ICHE; 2013;34:1-14

TABLE 12. Changes in Percent Resistance among Pathogens Associated with SSIs Reported to the National Health-care Safety Network, 2007–2010

| Resistant pathogen, antimicrobial agents ^a | Resistance percentage, 2007–2008, % (95% CI) | Resistance percentage, 2009–2010, % (95% CI) | Overall change, % | P value |
|---|--|--|-------------------|---------|
| <i>Staphylococcus aureus</i> | | | | |
| Oxacillins | 48.0 (46.5, 49.5) | 43.7 (42.5, 44.9) | –9.0 | <.0001 |
| <i>Enterococcus</i> species | | | | |
| <i>E. faecium</i> , vancomycin | 65.2 (60.6, 69.7) | 62.3 (58.1, 66.5) | –4.4 | .36 |
| <i>E. faecalis</i> , vancomycin | 4.6 (3.1, 6.2) | 6.2 (4.9, 7.6) | 34.7 | .14 |
| <i>Klebsiella (pneumoniae/oxytoca)</i> | | | | |
| ES cephalosporins 4 | 19.4 (15.9, 22.9) | 13.2 (10.7, 15.7) | –31.7 | <.01 |
| Carbapenems | 9.6 (6.7, 12.5) | 7.9 (5.7, 10.1) | –17.7 | .35 |
| Multidrug resistant 1 | 10.9 (7.9, 13.8) | 6.8 (4.8, 8.7) | –37.8 | .02 |
| <i>Escherichia coli</i> | | | | |
| ES cephalosporins 4 | 9.1 (7.5, 10.7) | 10.9 (9.4, 12.5) | 20.2 | .11 |
| Fluoroquinolones 3 | 27.2 (24.8, 29.5) | 25.3 (23.3, 27.2) | –7.0 | .23 |
| Carbapenems | 1.5 (0.7, 2.2) | 2.0 (1.3, 2.8) | 38.2 | .31 |
| Multidrug resistant 1 | 1.1 (0.5, 1.7) | 1.6 (0.9, 2.2) | 41.8 | .33 |
| <i>Enterobacter</i> species | | | | |
| ES cephalosporins 4 | 30.6 (26.8, 34.5) | 27.7 (24.6, 30.8) | –9.5 | .24 |
| Carbapenems | 2.8 (1.2, 4.3) | 2.4 (1.1, 3.6) | –14.4 | .69 |
| Multidrug resistant 1 | 1.5 (0.4, 2.7) | 1.7 (0.7, 2.7) | 10.6 | .83 |
| <i>Pseudomonas aeruginosa</i> | | | | |
| Aminoglycosides | 4.4 (2.5, 6.3) | 6.0 (4.2, 7.8) | 37.7 | .23 |
| ES cephalosporins 2 | 13.6 (11.1, 16.0) | 10.2 (8.4, 12.0) | –24.8 | .03 |
| Fluoroquinolones 2 | 15.8 (13.2, 18.4) | 16.9 (14.7, 19.1) | 7.2 | .51 |
| Carbapenems | 11.2 (8.7, 13.8) | 11.0 (8.9, 13.1) | –2.1 | .89 |
| Piperacillin/tazobactam | 6.8 (4.7, 8.8) | 6.8 (5.1, 8.6) | 1.3 | .95 |
| Multidrug resistant 2 | 4.9 (3.3, 6.5) | 5.3 (4.0, 6.7) | 8.4 | .70 |
| <i>Acinetobacter baumannii</i> | | | | |
| Carbapenems | 38.6 (28.5, 48.8) | 37.3 (27.9, 46.6) | –3.6 | .85 |
| Multidrug resistant 3 | 49.5 (39.6, 59.3) | 43.9 (34.8, 53.0) | –11.4 | .41 |

| Pathogen, antimicrobial ^a | SSI | | |
|--|--------------------------|----------------------------|---------------|
| | No. of isolates reported | No. (%) of isolates tested | Resistance, % |
| <i>Staphylococcus aureus</i> | 6,415 | | |
| OX/METH | | 6,304 (98.3) | 43.7 |
| <i>Enterococcus</i> spp. | | | |
| <i>E. faecium</i> | 517 | | |
| VAN | | 509 (98.5) | 62.3 |
| <i>E. faecalis</i> | 1,240 | | |
| VAN | | 1,187 (95.7) | 6.2 |
| <i>Klebsiella (pneumoniae/oxytoca)</i> | 844 | | |
| ESC4 | | 710 (84.1) | 13.2 |
| Carbapenems | | 582 (69.0) | 7.9 |
| MDR1 | | 621 (73.6) | 6.8 |
| <i>Escherichia coli</i> | 1,981 | | |
| ESC4 | | 1,627 (82.1) | 10.9 |
| FQ3 | | 1,876 (94.7) | 25.3 |
| Carbapenems | | 1,330 (67.1) | 2.0 |
| MDR1 | | 1,390 (70.2) | 1.6 |
| <i>Enterobacter</i> spp. | 849 | | |
| ESC4 | | 816 (96.1) | 27.7 |
| Carbapenems | | 594 (70.0) | 2.4 |
| MDR1 | | 648 (76.3) | 1.7 |
| <i>Pseudomonas aeruginosa</i> | 1,156 | | |
| AMINOS | | 664 (57.4) | 6.0 |
| ESC2 | | 1,097 (94.9) | 10.2 |
| FQ2 | | 1,111 (96.1) | 16.9 |
| Carbapenems | | 872 (75.4) | 11.0 |
| PIP/PIPTAZ | | 818 (70.8) | 6.8 |
| MDR2 | | 1,053 (91.1) | 5.3 |
| <i>Acinetobacter baumannii</i> | 119 | | |
| Carbapenems | | 102 (85.7) | 37.3 |
| MDR3 | | 114 (95.8) | 43.9 |

Costs of specific types of HAI in the US

| | # of infections | Range of \$ estimates based on 2007 CPI for all urban consumers | Range of \$ estimates based on 2007 CPI for Inpatient hospital services | Range of estimate using CPI for all urban consumers (billions) | Range of estimate using CPI for Inpatient hospital services (billions) |
|--------|-----------------|---|---|--|--|
| SSI | 290,485 | \$11,087 - \$29,443 | \$11,874 - \$34,670 | \$3.22 - \$8.55 | \$3.45 - \$10.07 |
| CLABSI | 92,011 | \$ 6,461 - \$25,849 | \$ 7,288- \$29,156 | \$0.59 - \$2.38 | \$0.67 - \$2.68 |
| VAP | 52,543 | \$14,806 - \$27,520 | \$19,633 - \$28,508 | \$0.78 - \$1.45 | \$1.03 - \$1.50 |
| CAUTI | 449,334 | \$ 749 - \$ 832 | \$ 862 - \$ 1,007 | \$0.34 - \$0.37 | \$0.39 - \$0.45 |
| CDI | 178,000 | \$ 5,682 - \$ 8,090 | \$ 6,408 - \$ 9,124 | \$1.01 - \$1.44 | \$1.14 - \$1.62 |

\$11,874 - \$34,670

\$3.45 - \$10.07

Scott RD. http://www.cdc.gov/ncidod/dhqp/pdf/Scott_CostPaper.pdf.

HAI burden in Europe

- **Prevalence: 3.5–14.8% (average: 7.1%)**
- **4 131 000** affected patients
- **4 544 100** episodes of HAI every year
- **16 million** extra days of hospital stay
- **37 000** attributable deaths (and contribution to an additional 110 000)
- **Annual economic impact:** about **EUR 7 billion** per year (including direct costs only)

(ECDC, Comm Dis Report 2008)

| Country | N° of cases/year | N° of deaths/year | Costs/year |
|-------------|------------------|-------------------|-----------------|
| UK | 100,000 | 5,000 | UK£ 1 billion |
| Scotland | / | / | UK£ 183 mio |
| Switzerland | 70,000 | / | CHF 230-300 mio |

HAI prevalence and burden in Europe

Prevalence: 5.7% (95%CI, 4.5–7.4%)

- **3 529 778** (95% CI, 1 941 962-8 250 382) HAI episodes per year
- **87 539** HAI episodes at any given day
 - Respiratory tract infection: 23.4% (pneumonia: 19.4%; LRTI: 4.1%)
 - **Surgical Site Infection: 19.6%; 17 399 episodes per day (1.22%)**
 - Urinary Tract Infection: 19%
 - Gastro-intestinal infections: 7.7%
 - Bloodstream Infections: 10.7%

(ECDC, Point Prev Report 2011-12)

- **16 million** extra days of hospital stay
- **37 000** attributable deaths (and contribution to an additional 110 000)
- **Annual economic impact:** about **EUR 7 billion** per year (including direct costs only)

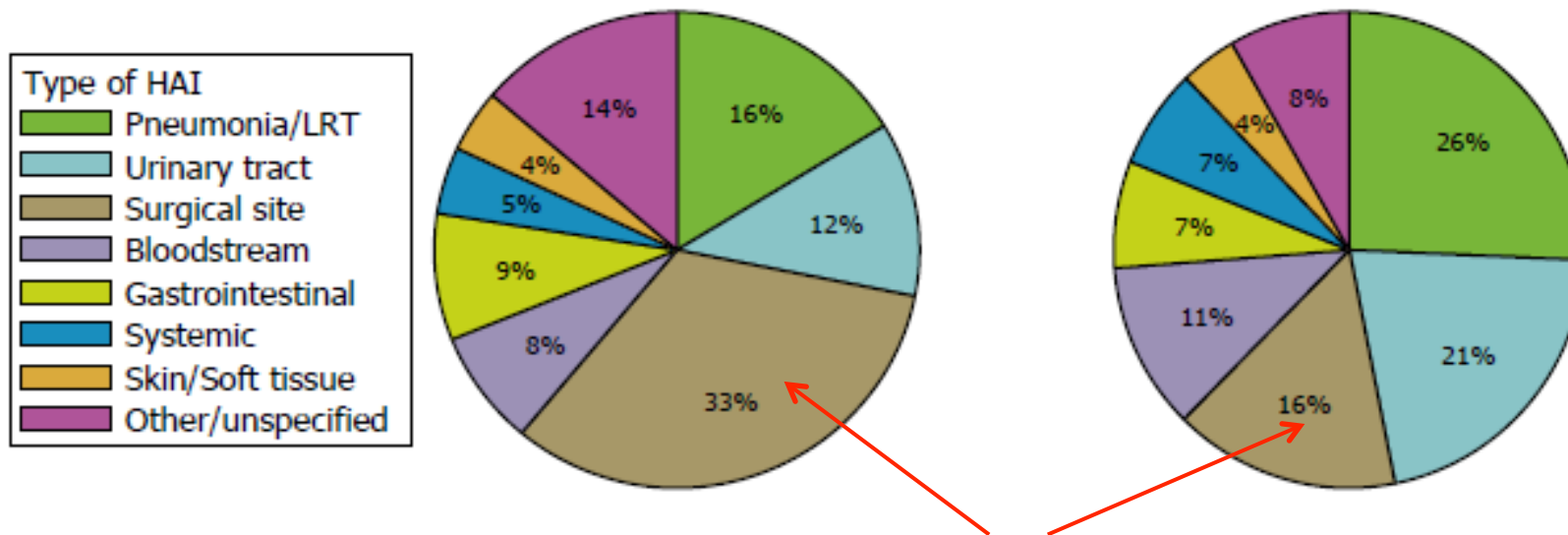
(ECDC, Comm Dis Report 2008)

HAI episodes per year in Europe

| HAI type | LN-INT | P50 (LN-INT) | HAI inc.% | (95% CI) | N HAIs /year | (95% CI) | % of total HAIs | (95% CI) |
|---------------------------|--------|--------------|-----------|----------|---------------------------------|----------|-----------------|----------|
| N HAIs /year | | | | | (95% CI) | | | |
| 543 149 | | | | | (298 167-1 062 673) | | | |
| Total HAIs ^(a) | | | | | 3 529 778 (1 941 962-8 250 382) | | | |

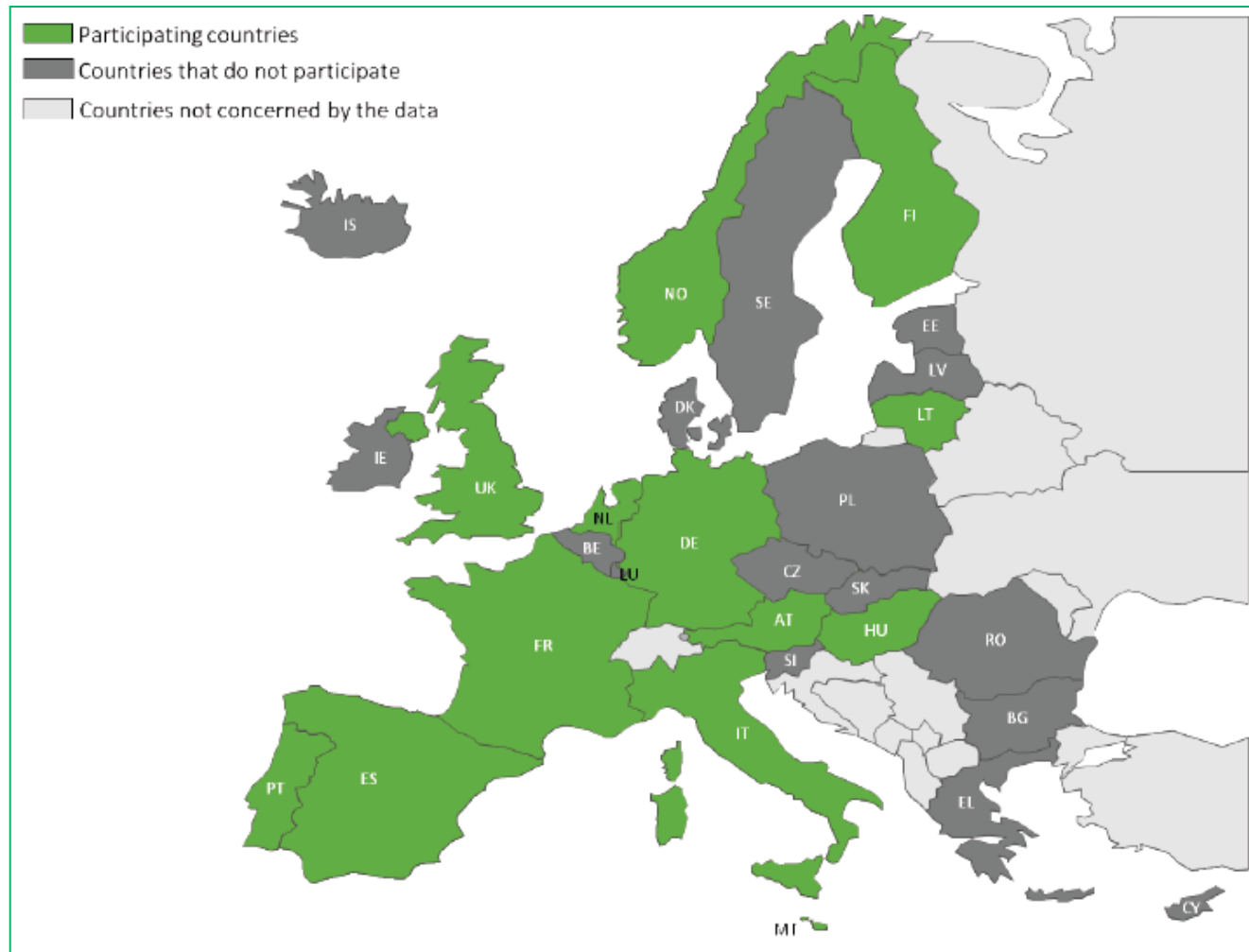
(ECDC, Point Prev Report 2011-12)

Figure 1. Distribution of HAI types by presence of HAI on admission, HAI present on admission (left) HAI onset during hospitalisation (right)



Point prevalence survey of healthcare-associated infections and antimicrobial use in European acute care hospitals. ECDC Report 2013

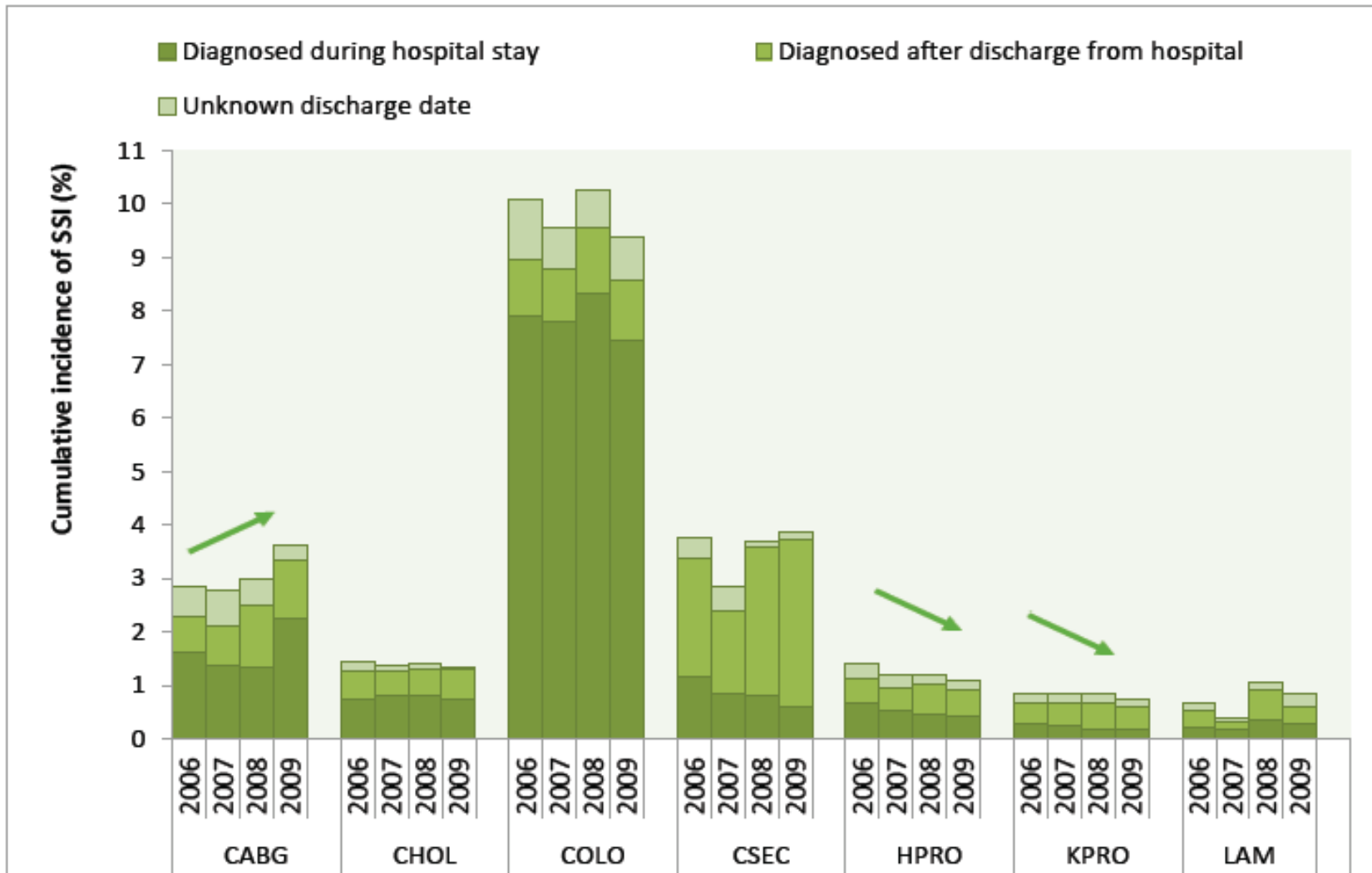
SSI surveillance in Europe 2008-2009



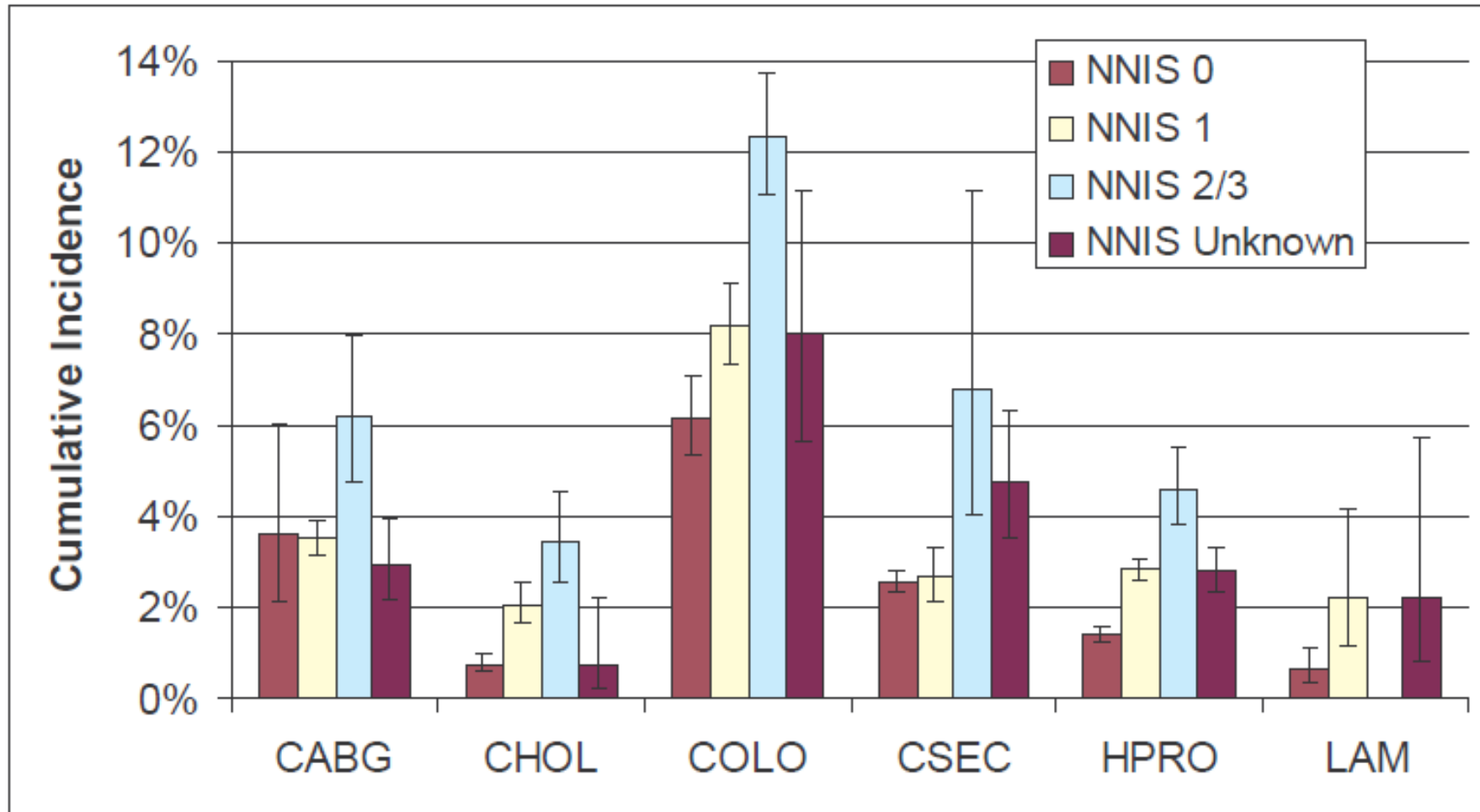
ECDC Surveillance Report, 2008-2009

- 13 countries
- 1 785 hospitals
- 655 637 surgical operations

SSI cumulative incidence by operation type – 2006-2009



SSI cumulative incidence by NNIS index and by procedure in Europe

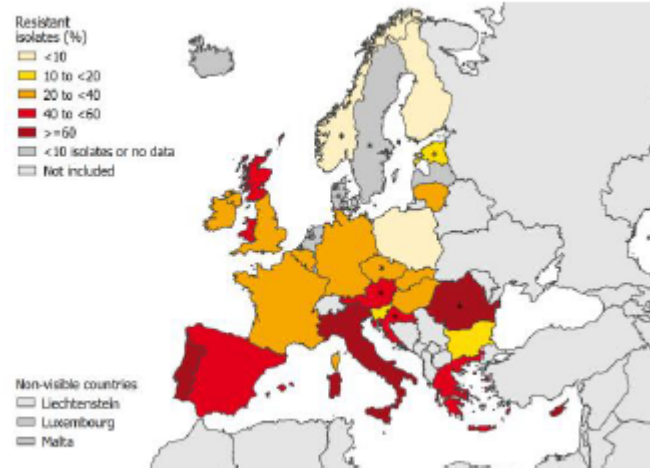


HELICS, SSI statistical report 2004

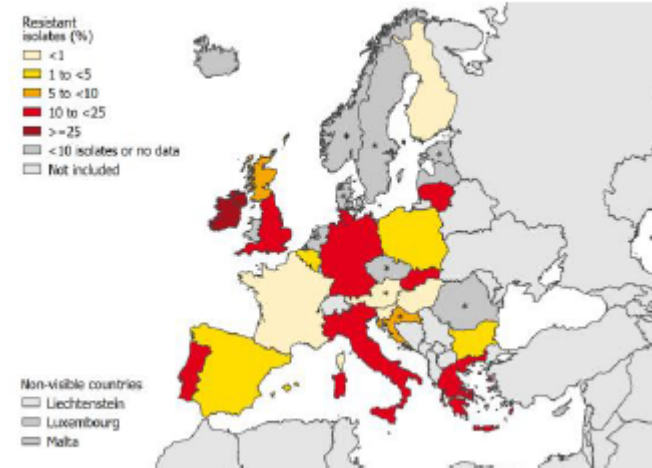
Overall SSI incidence: 3/100 surg. proc.

Resistance patterns in HA-pathogens in Europe

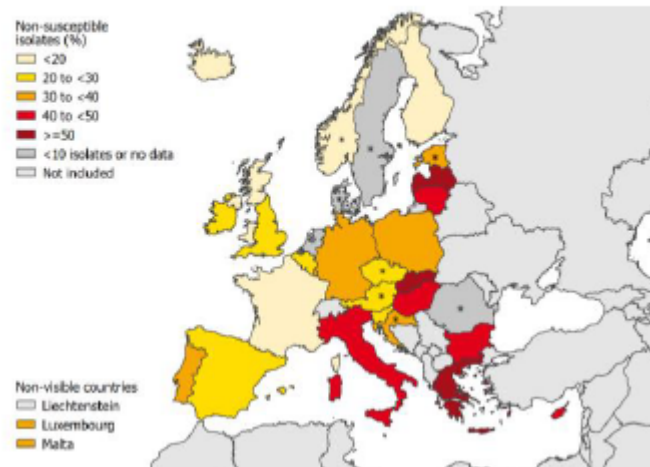
a. *Meticillin-resistant Staphylococcus aureus* (MRSA)



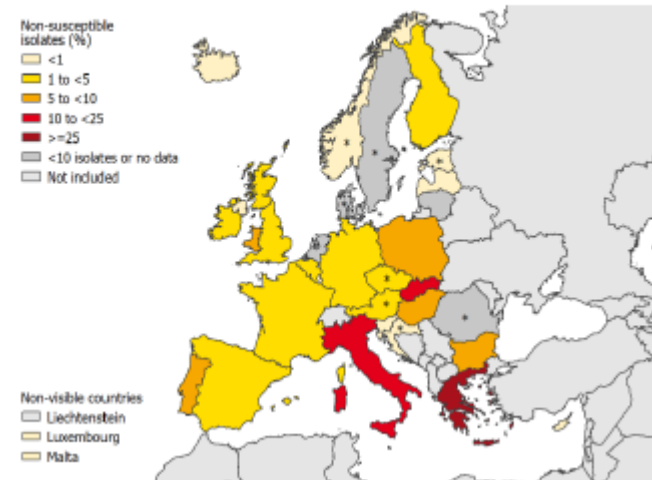
b. *Vancomycin-resistant Enterococcus species* (VRE)



c. *Third-generation cephalosporin-non-susceptible Enterobacteriaceae*



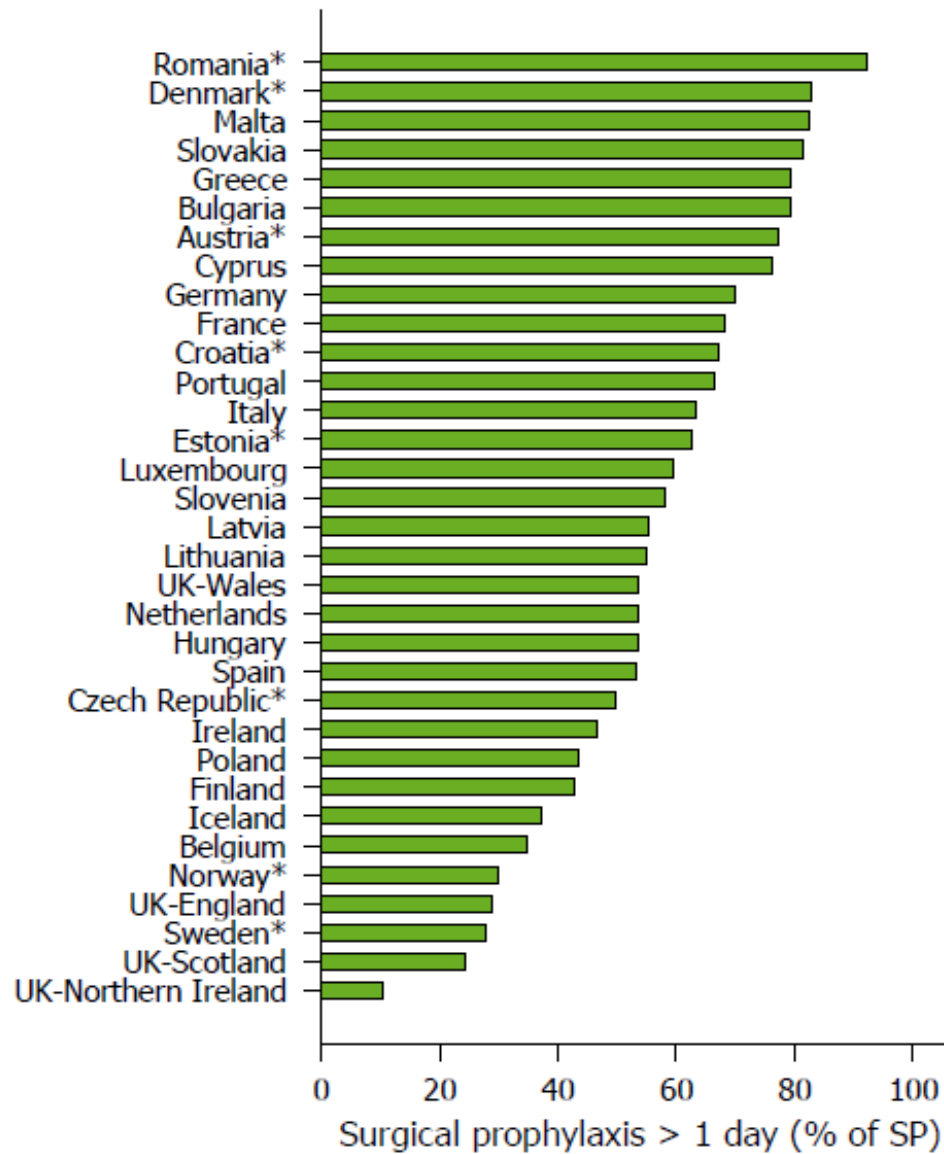
d. *Carbapenem-non-susceptible Enterobacteriaceae*



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Surgical ATB prophylaxis prolongation > 24h



Average, 59.2%, range 10.7% (UK/N Ireland) - 92.3% (Romania)

SSI rates

USA: 2.6/100 surg. proc.

Gaines RP et al, CID 2001

Europe: 3/100 surg. proc.

HELICS, SSI statistical report 2004

General surgery

3.1%

Haridas et al, Surgery 2008

4.5%

Misteli et al, Arch Surg 2009

4.7%

Hawn et al, J Am Coll Surg '08

Abdominal surgery

23%

Duttaroy et al, Surg Infect 2009

11%

Greif et al, NEJM 2000

Frequency and impact by type of HAI (USA and EU)

| HAI Type | Average attributable mortality | Average increased LOS | Attributable Costs in US Dollars | | Range | |
|------------|--------------------------------|-----------------------|----------------------------------|---------------|--------------|----------------|
| | (%) | (days) | Mean | SD | Min | Max |
| BSI | 20 | 8.5 | 36,441 | 37,078 | 1,822 | 107,156 |
| SSI | 4.3 | 6.5 | 25,546 | 39,875 | 1,783 | 134,602 |
| VAP | 27 | 5 | 9,969 | 2,920 | 7,904 | 12,034 |
| UTI | / | / | 1,006 | 503 | 650 | 1,361 |

What about low and middle income countries ?

Allegranzi B et al.

Lancet 2011;377:228-41

Burden of endemic health-care-associated infection in developing countries: systematic review and meta-analysis

Benedetta Allegranzi, Sepideh Bagheri Nejad, Christophe Combescure, Wilco Graafmans, Homa Altar, Liam Donaldson, Didier Pittet

Summary

Background Health-care-associated infection is the most frequent result of unsafe patient care worldwide. Data are available from the developing world. We aimed to assess the epidemiology of endemic health-care-associated infection in developing countries.

Methods We searched electronic databases and reference lists of relevant papers for articles published between 1995 and 2009 containing full or partial data from developing countries related to infection incidence—including overall health-care-associated infection and major infection sites, and their cause—were selected. We classified studies as low-quality or high-quality according to predefined criteria and pooled for analysis.

Findings Of 271 selected articles, 220 were included in the final analysis. Limited data were reported from 118 (54%) studies were low quality. In general, infection incidence reported in high-quality studies was greater than those from low-quality studies. Prevalence of health-care-associated infection in high-quality studies, 15.5 per 100 patients [95% CI 12.6–18.9] was 1.5 times higher than that reported from Europe and the USA. Pooled overall health-care-associated infection incidence was 47.9 per 1000 patient-days [95% CI 36.7–59.1], at least three times as reported from the USA. Surgical-site infection was the leading infection in hospitals (pooled cumulative incidence 5.6 per 100 surgical procedures), strikingly higher than proportions recorded in developed countries. *Staphylococcus aureus* isolates (in eight studies), very few articles reported antimicrobial resistance.

Interpretation The burden of health-care-associated infection in developing countries is high. Our findings need to improve surveillance and infection-control practices.

Funding World Health Organization.

Introduction

Health-care-associated infections are deemed the most frequent adverse event threatening patients' safety worldwide.^{1,2} However, reliable estimates of the global burden are hampered by a paucity of data adequately describing endemic infections at national and regional levels, particularly in resource-limited settings.³ In countries where less than 5% of the gross national product is spent on health care, and workforce density is less than five per 1000 population,⁴ other emerging health problems and diseases take priority.⁵ The epidemiological gap leading to the absence of reliable estimates of the global burden is mainly because surveillance of health-care-associated infection depends on time and resources and needs expertise in study design, data collection, analysis, and interpretation. Very few countries of low and middle income have national surveillance systems for health-care-associated infections. Data from the International Nosocomial Infection Control Consortium,⁶ and findings of two systematic reviews on hospital-acquired neonatal infections⁷ and ventilator-associated pneumonia,⁸ suggested not only that risks of health-care-associated infection are significantly higher in developing countries

but also that the effect on patients' safety is severe and greatly underestimated. The aim of this systematic review was to assess the burden of endemic health-care-associated infection in developing countries using available data from published studies. We also aim to investigate current surveillance of health-care-associated infections in resource-limited settings and identify areas for improvement.

Methods

Search strategy and selection criteria We undertook a literature search according to a protocol designed before the review. We aimed to identify studies on the burden of health-care-associated infection in developing countries with a particular focus on the most common infections—urinary-tract infection, surgical-site infection, hospital-acquired pneumonia, and ventilator-associated pneumonia. We searched for reports published between January 1995 and December 2009, with no language restriction. We used a comprehensive list of terms (panel 1),

Articles



World Health Organization

Patient Safety
A World Alliance for Safer Health Care

Published on 5 May 2011

<http://www.who.int/gpsc/en/>

Report on the Burden of Endemic Health Care-Associated Infections Worldwide

Clean Care is Safer Care



Systematic reviews

Health-care-associated infection in Africa: a systematic review

Sepideh Bagheri Nejad,^a Benedetta Allegranzi,^a Shamsuzzoha B Syed,^b Benjamin Ellis^c & Didier Pittet^d

Objective To assess the epidemiology of endemic health-care-associated infection (HAI) in Africa.

Methods Three databases (PubMed, the Cochrane Library, and the WHO regional medical database for Africa) were searched to identify studies published from 1995 to 2009 on the epidemiology of HAI in African countries. No language restriction was applied. Available abstract books of leading international infection control conferences were also searched from 2004 to 2009.

Findings The eligibility criteria for inclusion in the review were met by 19 articles, only 2 of which met the criterion of high quality. Four relevant abstracts were retrieved from the international conference literature. The hospital-wide prevalence of HAI varied between 2.5% and 14.8%; in surgical wards, the cumulative incidence ranged from 5.7% to 45.8%. The largest number of studies focused on surgical site infection, whose cumulative incidence ranged from 2.5% to 30.9%. Data on causative pathogens were available from a few studies only and highlighted the importance of Gram-negative rods, particularly in surgical site infection and ventilator-associated pneumonia.

Conclusion Limited information is available on the endemic burden of HAI in Africa, but our review reveals that its frequency is much higher than in developed countries. There is an urgent need to identify and implement feasible and sustainable approaches to strengthen HAI prevention, surveillance and control in Africa.

Abstracts in Arabic, Chinese, French, Russian and Spanish at the end of each article.

Introduction

Health-care-associated infection (HAI) is a major global safety concern for both patients and health-care professionals.^{1–3} HAI is defined as an infection occurring in a patient during the process of care in a hospital or other health-care facility that was not manifest or incubating at the time of admission. This includes infections acquired in the hospital and any other setting where patients receive health care and may appear even after discharge. HAI also includes occupational infections among facility staff.⁴ These infections, often caused by multiresistant pathogens, take a heavy toll on patients and their families by causing illness, prolonged hospital stay, potential disability, excess costs and sometimes death.^{4,5}

The burden of HAI is already substantial in developed countries, where it affects from 5% to 15% of hospitalized patients in regular wards and as many as 50% or more of patients in intensive care units (ICUs).⁶ In developing countries, the magnitude of the problem remains underestimated or even unknown largely because HAI diagnosis is complex and surveillance activities to guide interventions require expertise and resources.⁶ Surveillance systems exist in some developed countries and provide regular reports on national trends of endemic HAI,⁷ such as the National Healthcare Safety Network of the United States of America or

This review provides a general overview of the endemic burden of HAI in Africa based on the information available in the scientific literature. It also identifies information gaps, examines differences in HAI epidemiology between developed and developing countries and highlights the possible role of the World Health Organization (WHO) in preventing HAI.

Methods

Search strategy and selection criteria

A literature search was performed from January 1995 to December 2009 with no language restriction to retrieve publications on the epidemiology of the most common HAIs in African countries: health-care-associated urinary tract infection (HA-UTI), surgical site infection (SSI), hospital-acquired pneumonia/ventilator-associated pneumonia and health-care-associated bloodstream infection. PubMed was searched using a combination of the following keywords, including "cross-infection" as the MeSH term: "nosocomial infection", "hospital-acquired", "incidence", "prevalence" and "rate" together with the individual country names. The Cochrane Library was searched for any relevant review papers. Reference lists of retrieved articles were hand searched for additional studies.

A separate search was run in the WHO regional medical database for Africa, African Index Medicus, using a shorter list of



World Health Organization

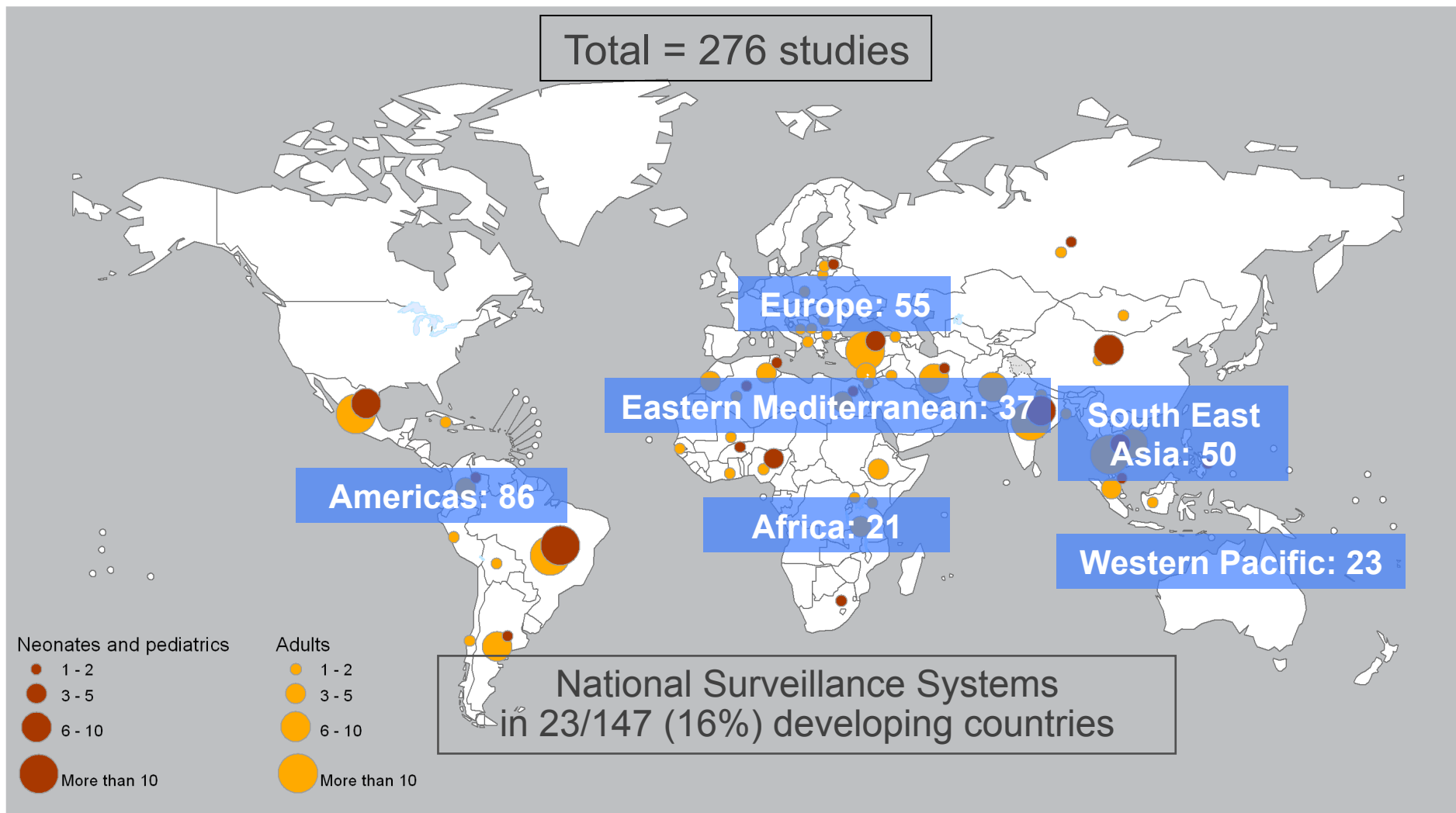
Patient Safety
A World Alliance for Safer Health Care

Bagheri Nejad S, et al. Bull OMS
2011;89:757-765

^a Clean Care is Safer Care, World Health Organization Patient Safety, Geneva, Switzerland.

^b African Partnerships for Patient Safety, World Health Organization Patient Safety, Geneva, Switzerland.

Figure 4.1: Number of studies* reporting health care-associated infection in low- and middle-income countries, 1995-2010



The boundaries and names shown and the designations used on this map do not imply the expression of any opinion whatsoever on the part of the World Health Organization concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. Dotted lines on maps represent approximate border lines for which there may not yet be full agreement.

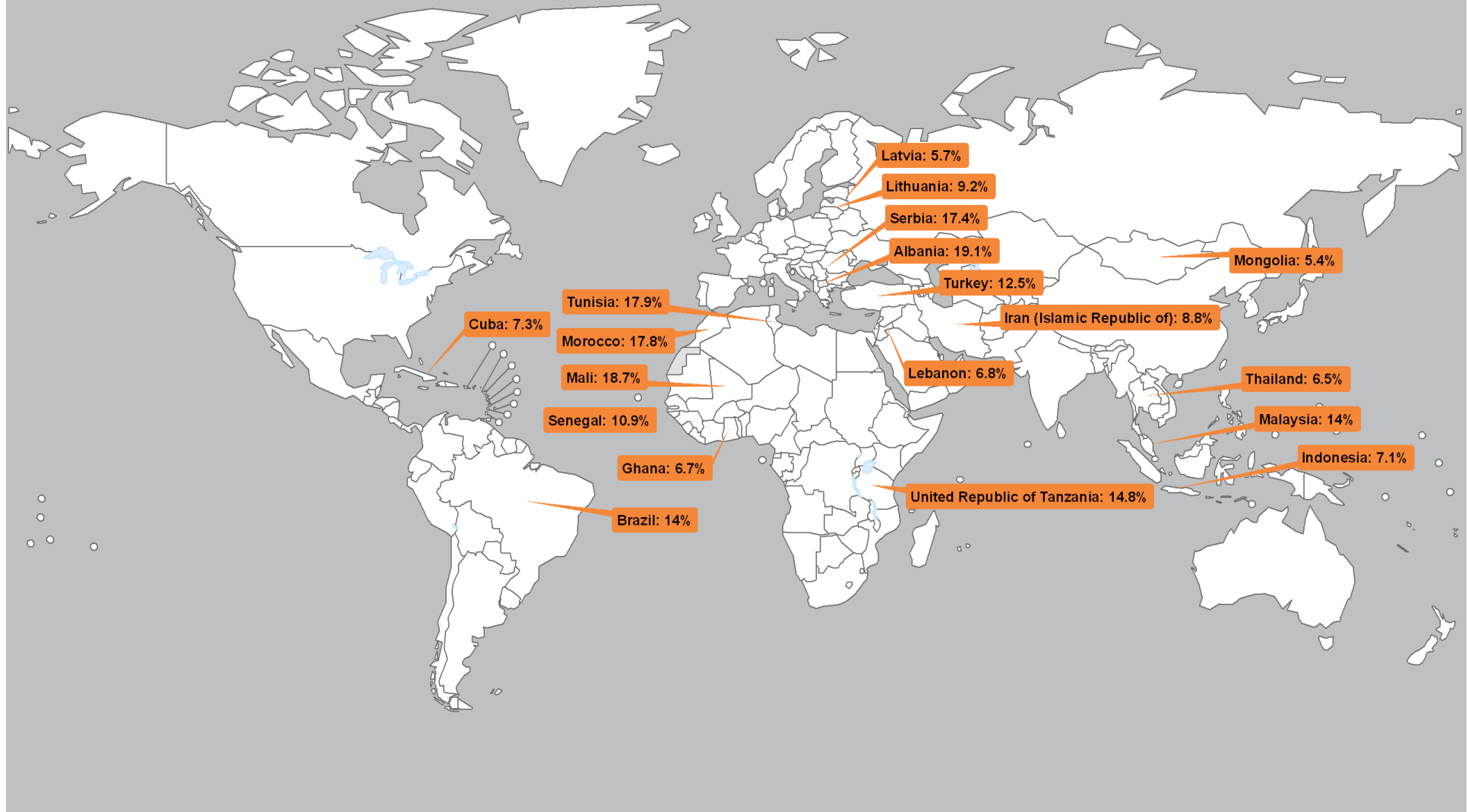
* Studies with any scope (i.e. conducted at the unit, facility, multicenter, or national level) are included

Data Source: World Health Organization
 Map Production: Public Health Information and Geographic Information Systems (GIS)
 World Health Organization



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Prevalence of health care-associated infection in low-/middle-income countries, 1995-2010



Range: 5.7-19.1%

Pooled prevalence: 10.1% (95% CI 8.4-12.2)

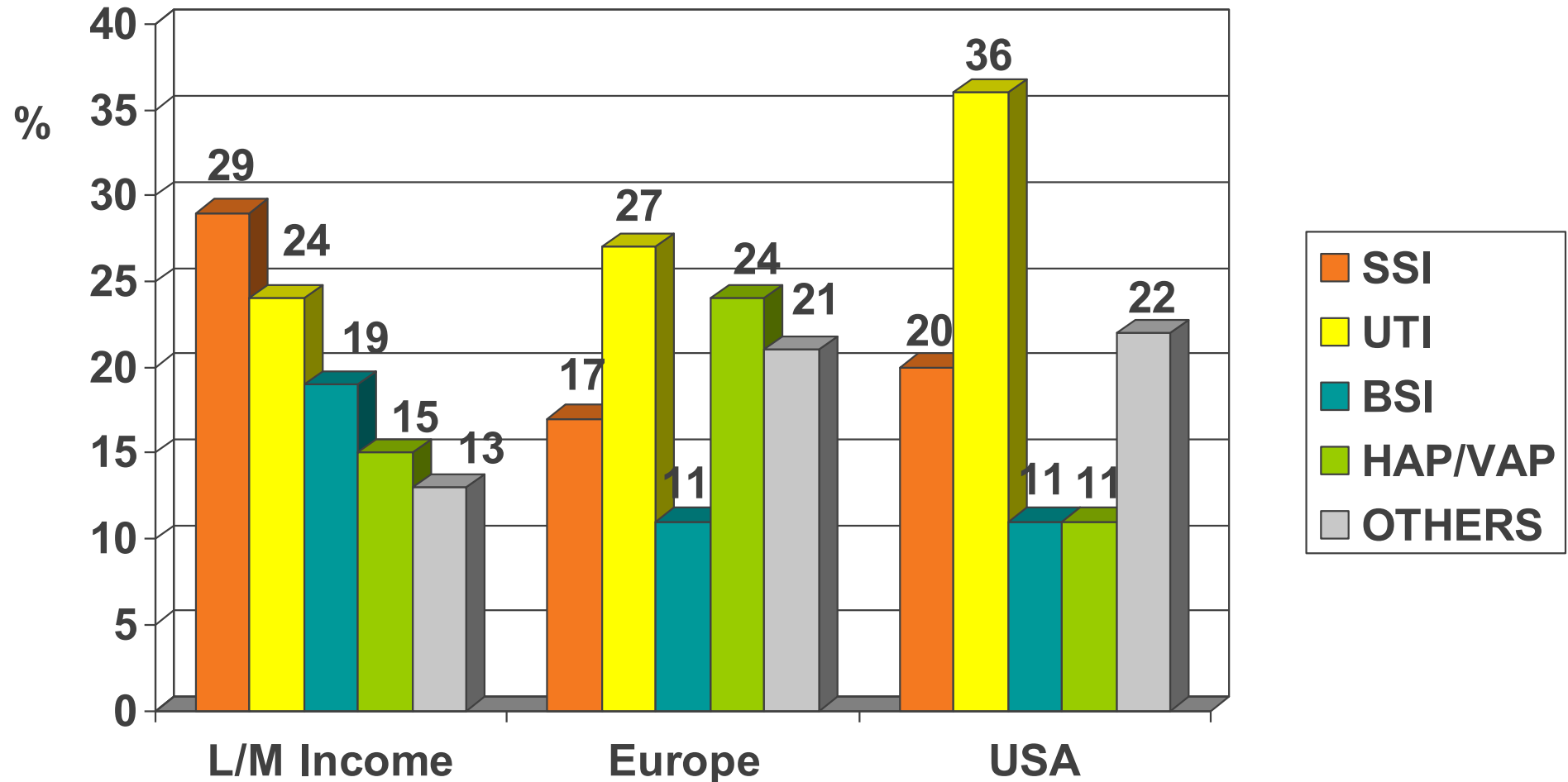
In high-quality papers: 15.5% (95% CI 12.6-18.9)

WHO Report on the Burden of Endemic Health Care-associated Infection Worldwide

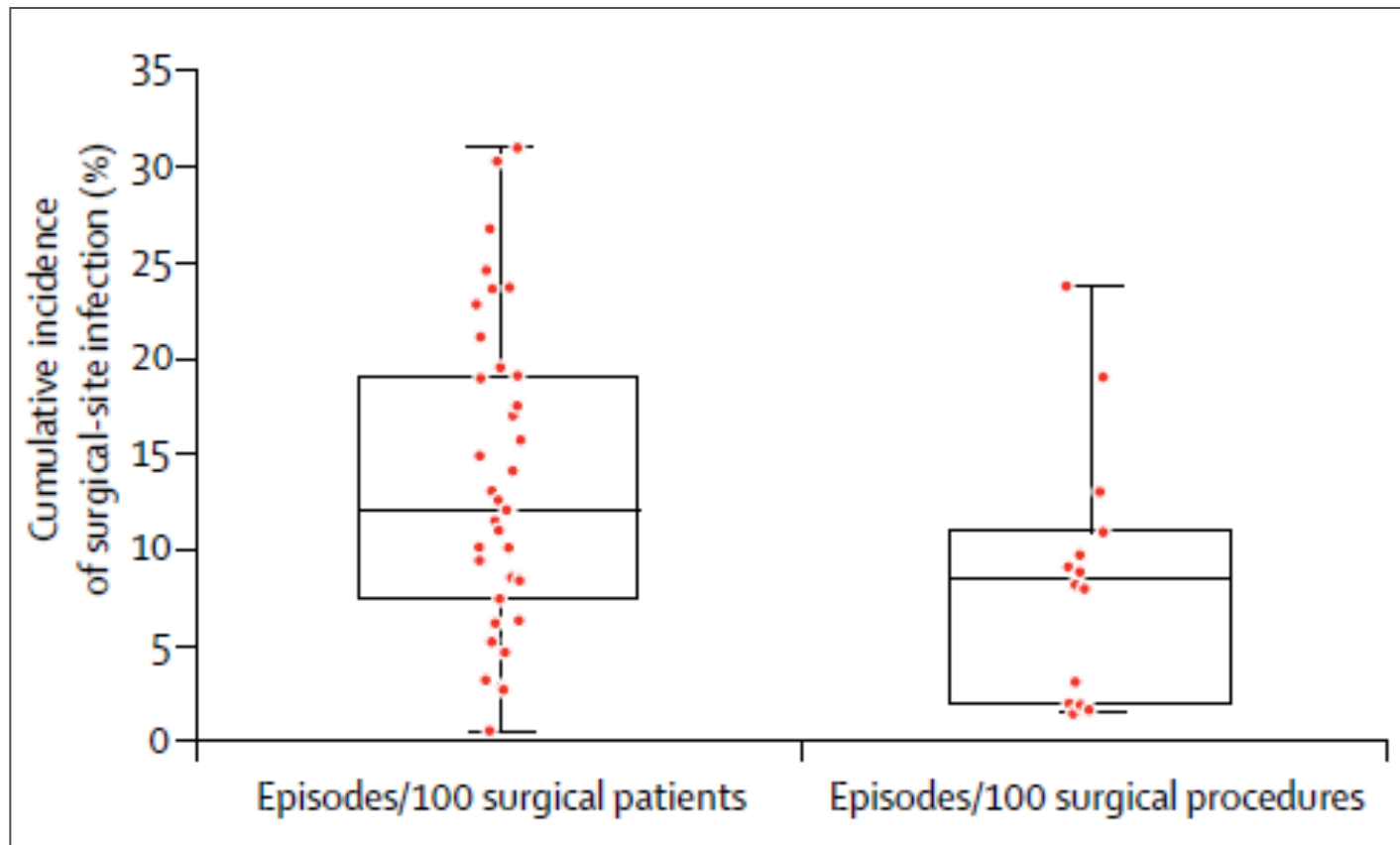
Type of study by region

| Type of infection | Africa | | Americas | | Eastern Med. | | Europe | | South-East Asia | | West Pacific | | Internat. | | Total | | |
|--------------------|-----------|----------|-----------|-----------|--------------|----------|-----------|----------|-----------------|-----------|--------------|-----------|-----------|----------|------------|-----------|------------|
| | Adult | Ped | Adult | Ped | Adult | Ped | Adult | Ped | Adult | Ped | Adult | Ped | Adult | Ped | Adult | Ped | All |
| HAI general | 6 | 1 | 31 | 20 | 14 | 3 | 29 | 4 | 15 | 5 | 7 | 6 | 4 | 0 | 106 | 39 | 145 |
| SSI | 7 | 3 | 16 | 3 | 8 | 0 | 8 | 0 | 12 | 0 | 5 | 0 | 0 | 0 | 56 | 6 | 62 |
| VAP | 1 | 1 | 5 | 0 | 8 | 0 | 4 | 1 | 6 | 2 | 1 | 1 | 0 | 0 | 25 | 5 | 30 |
| BSI | 0 | 0 | 5 | 2 | 1 | 1 | 3 | 1 | 3 | 3 | 0 | 2 | 0 | 0 | 12 | 9 | 21 |
| HAP | 0 | 1 | 3 | 0 | 0 | 0 | 3 | 0 | 2 | 1 | 0 | 1 | 0 | 0 | 8 | 3 | 11 |
| UTI | 1 | 0 | 1 | 0 | 2 | 0 | 2 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 7 | 0 | 7 |
| Total | 15 | 6 | 61 | 25 | 33 | 4 | 49 | 6 | 39 | 11 | 13 | 10 | 4 | 0 | 214 | 62 | 276 |

Type of hospital-acquired infection



Incidence of surgical site infections (57 studies)



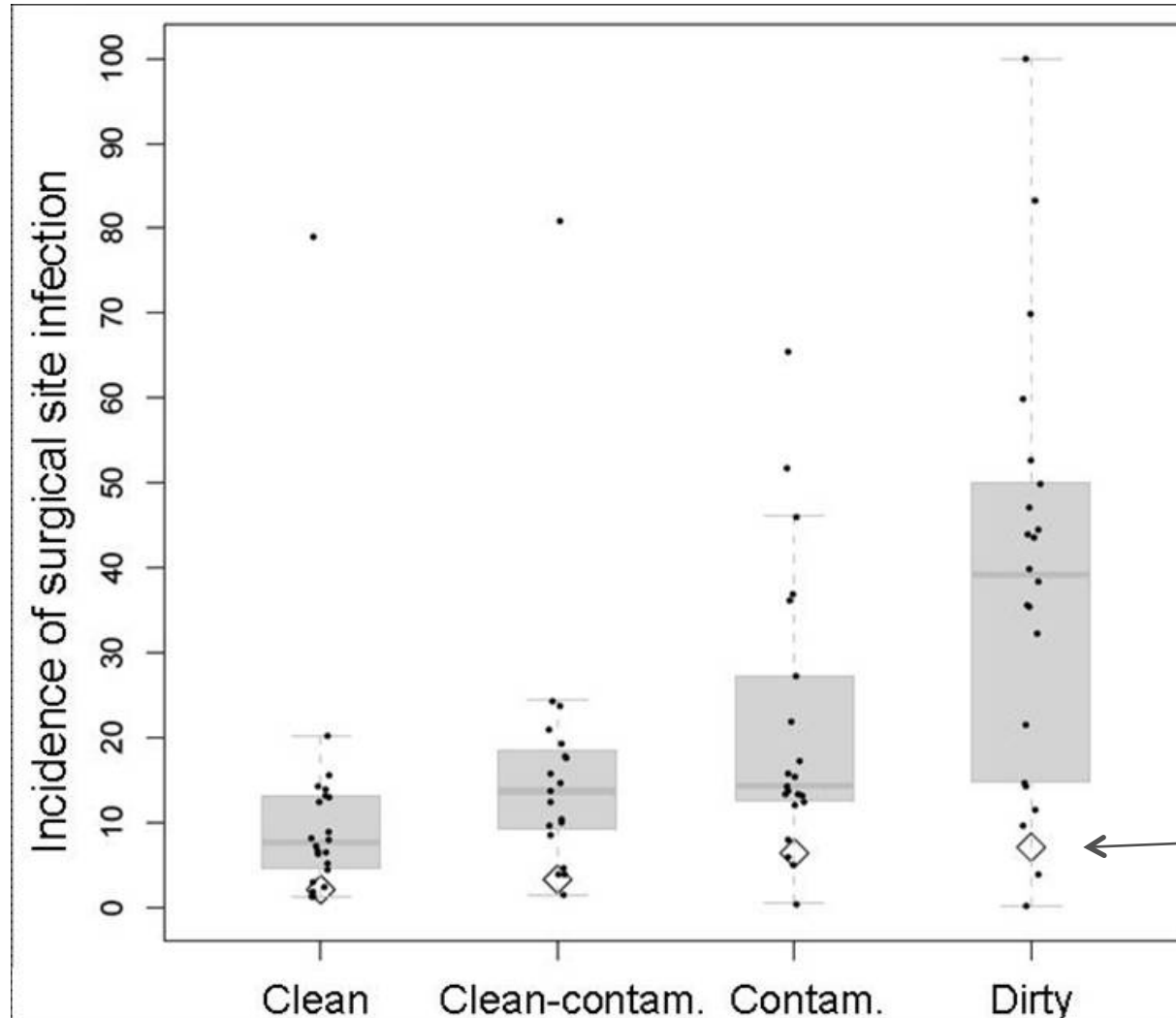
Range:

0.4-30.9 per 100 surg pts
1.2-23.6 per 100 surg procedures

Pooled cumulative incidence:

11.8 per 100 surg pts (95% CI 8.6-16.0)
5.6 per 100 surg procedures (95% CI 2.9-10.5)

SSI risk in developing countries according to wound classification



SSI pooled means:
11.5, 16.6, 21.3, 38.8
episodes per 100 SP
(from clean to dirty
wound)

Allegranzi B et al.
Lancet 2011;377:228-41

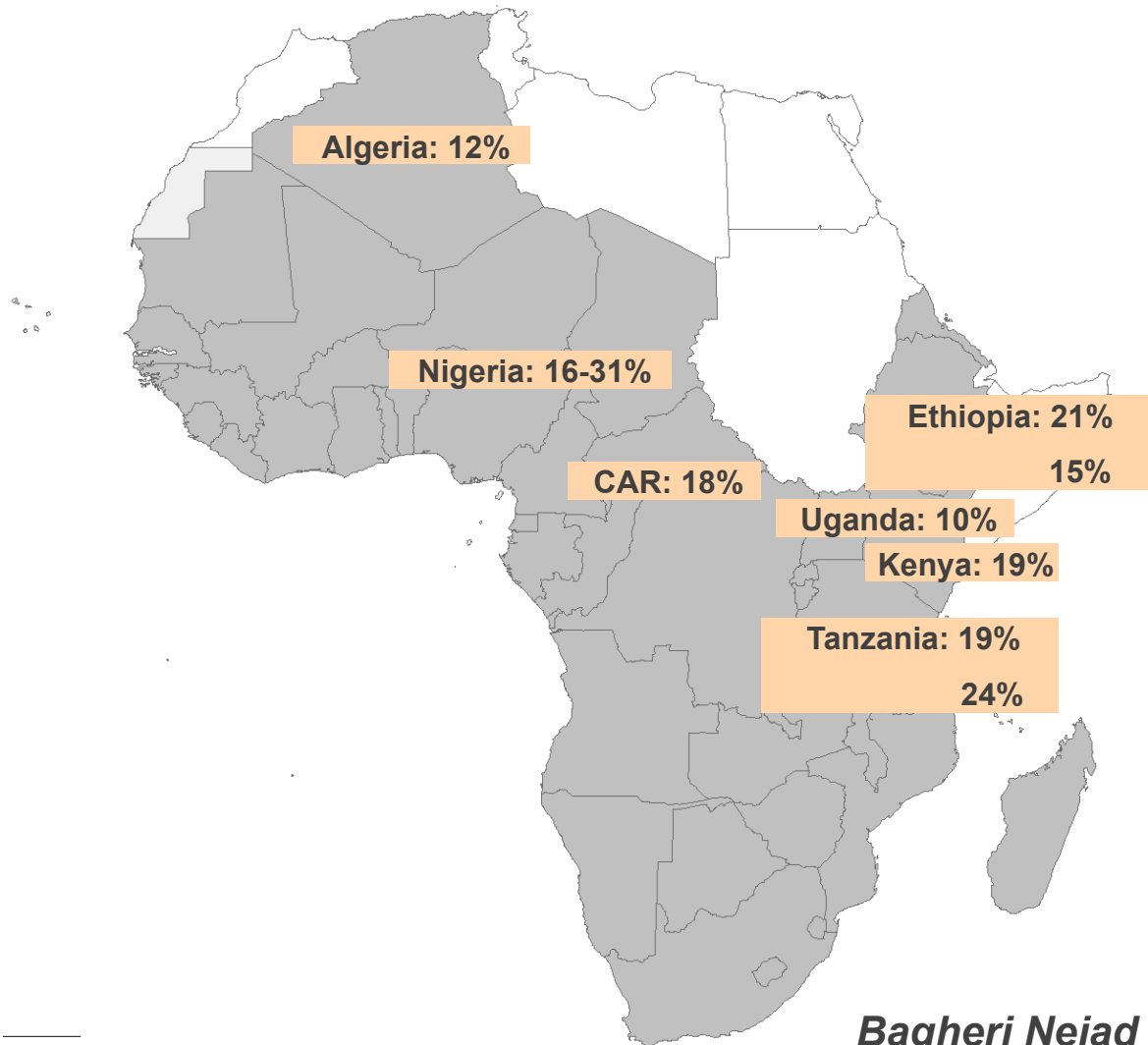
◇ NNIS reports

SSI rates from 30 countries, 4 continents, 2005-2010

| CODE | Procedure name | INICC 2005–2010, SSI rate, % | CDC-NHSN 2006–2008 | | | |
|------|---|---------------------------------|---|------|------------|-------|
| | | | SSI rate (pooled risk categories), % | RR | 95% CI | P |
| AAA | Abdominal aortic aneurysm repair | 7.7 | 3.2 | 2.41 | 0.33–17.40 | .3668 |
| AMP | Limb amputation | 2.7 | 2.3 | 1.18 | 0.80–1.74 | .4099 |
| APPY | Appendix surgery | 2.9 | 1.4 | 2.05 | 1.61–2.59 | .0001 |
| BILI | Bile duct, liver or pancreatic surgery | 9.2 | 9.9 | 0.93 | 0.70–1.22 | .5945 |
| BRST | Breast surgery | 1.7 | 2.3 | 0.77 | 0.55–1.06 | .1111 |
| CBGB | Coronary bypass with chest and donor incision | 4.5 | 2.9 | 1.52 | 1.44–1.61 | .0001 |
| CARD | Cardiac surgery | 5.6 | 1.3 | 4.32 | 3.81–4.88 | .0001 |
| CHOL | Gallbladder surgery | 2.5 | 0.6 | 3.94 | 3.10–5.01 | .0001 |
| COLO | Colon surgery | 9.4 | 5.6 | 1.69 | 1.52–1.87 | .0001 |
| CRAN | Craniotomy | 4.4 | 2.6 | 1.69 | 1.46–1.96 | .0001 |
| CSEC | Cesarean section | 0.7 | 1.8 | 0.39 | 0.34–0.43 | .0001 |
| FUSN | Spinal fusion | 3.2 | 1.5 | 2.10 | 1.48–3.00 | .0001 |
| FX | Open reduction of fracture | 4.2 | 1.7 | 2.44 | 2.02–2.93 | .0001 |
| GAST | Gastric surgery | 5.5 | 2.3 | 2.41 | 1.82–3.19 | .0001 |
| HER | Herniorrhaphy | 1.8 | 2.3 | 0.78 | 0.63–0.96 | .0197 |
| HPRO | Hip prosthesis | 2.6 | 1.3 | 2.06 | 1.80–2.37 | .0001 |
| HYST | Abdominal hysterectomy | 2.7 | 1.6 | 1.66 | 1.36–2.03 | .0001 |
| KPRO | Knee prosthesis | 1.6 | 0.9 | 1.84 | 1.56–2.18 | .0001 |
| LAM | Laminectomy | 1.7 | 1.0 | 1.67 | 1.33–2.09 | .0001 |

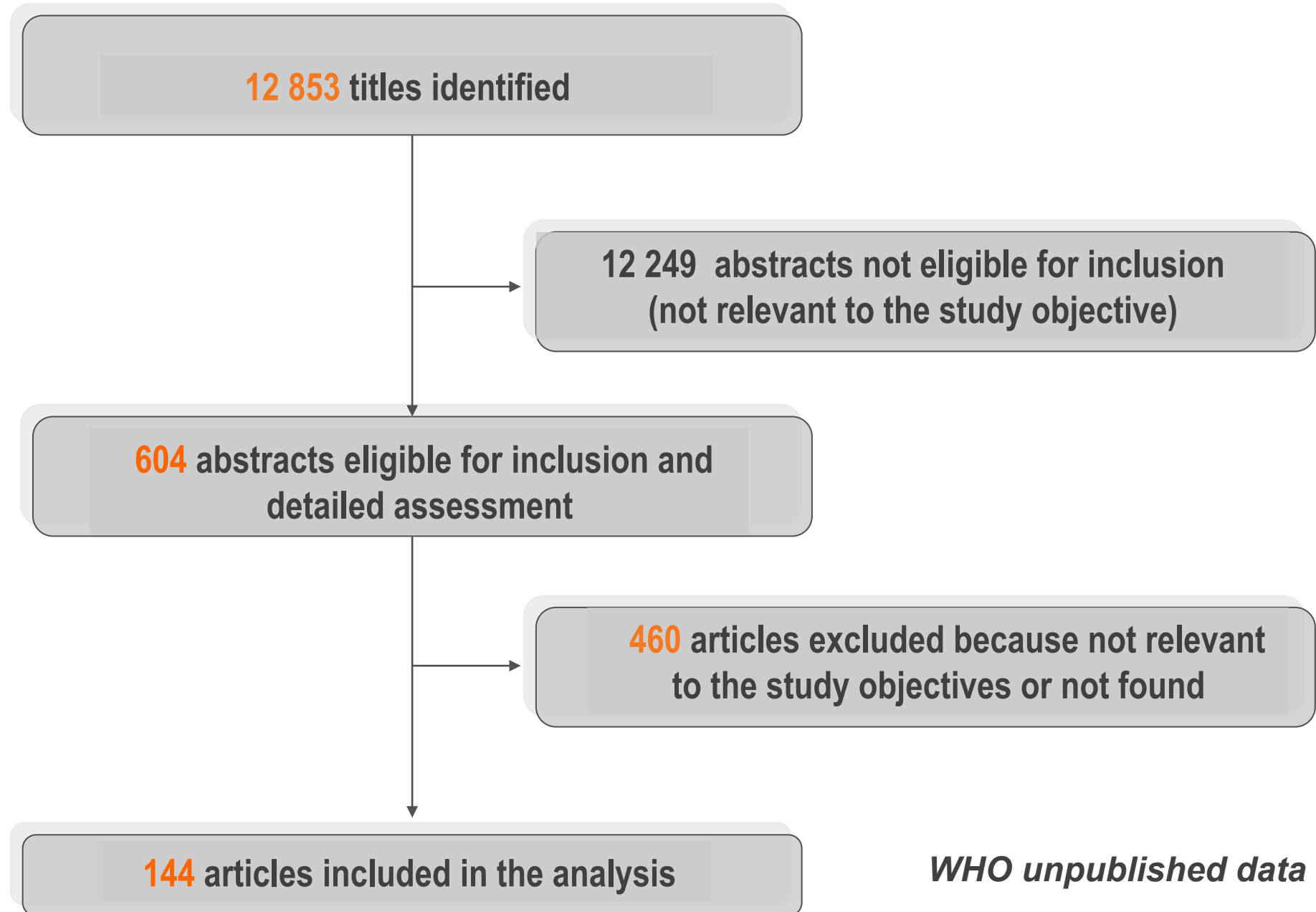
Rosenthal V et al, ICHE 2013

SSI incidence in Africa (studies from 1995-2009)

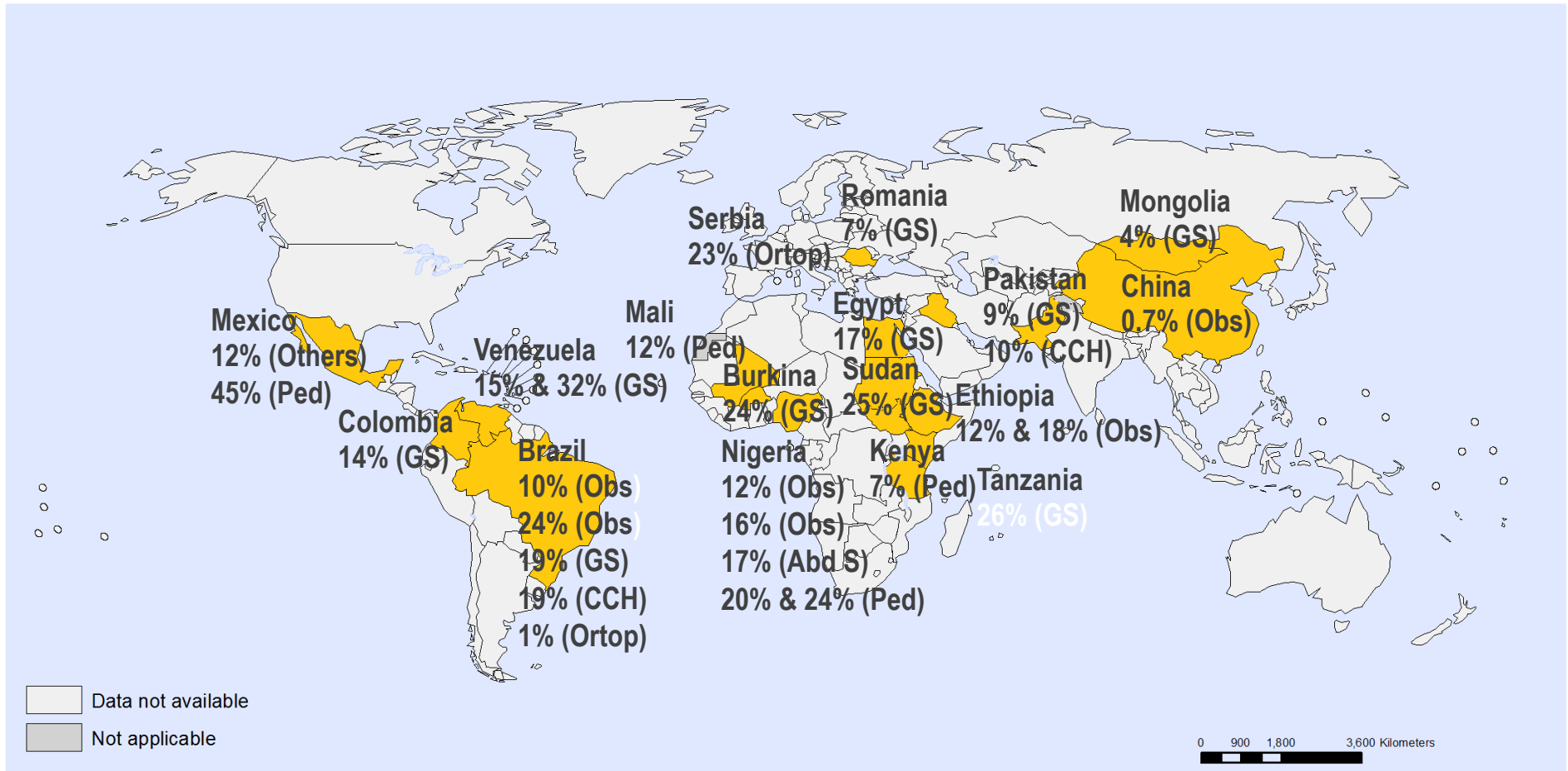


Bagheri Nejad S et al. WHO Bull 2011;89:757-765

Systematic review on SSI epidemiology in LMIC (1995-2014) PRELIMINARY RESULTS



SSI frequency in specific countries (2010-2014)



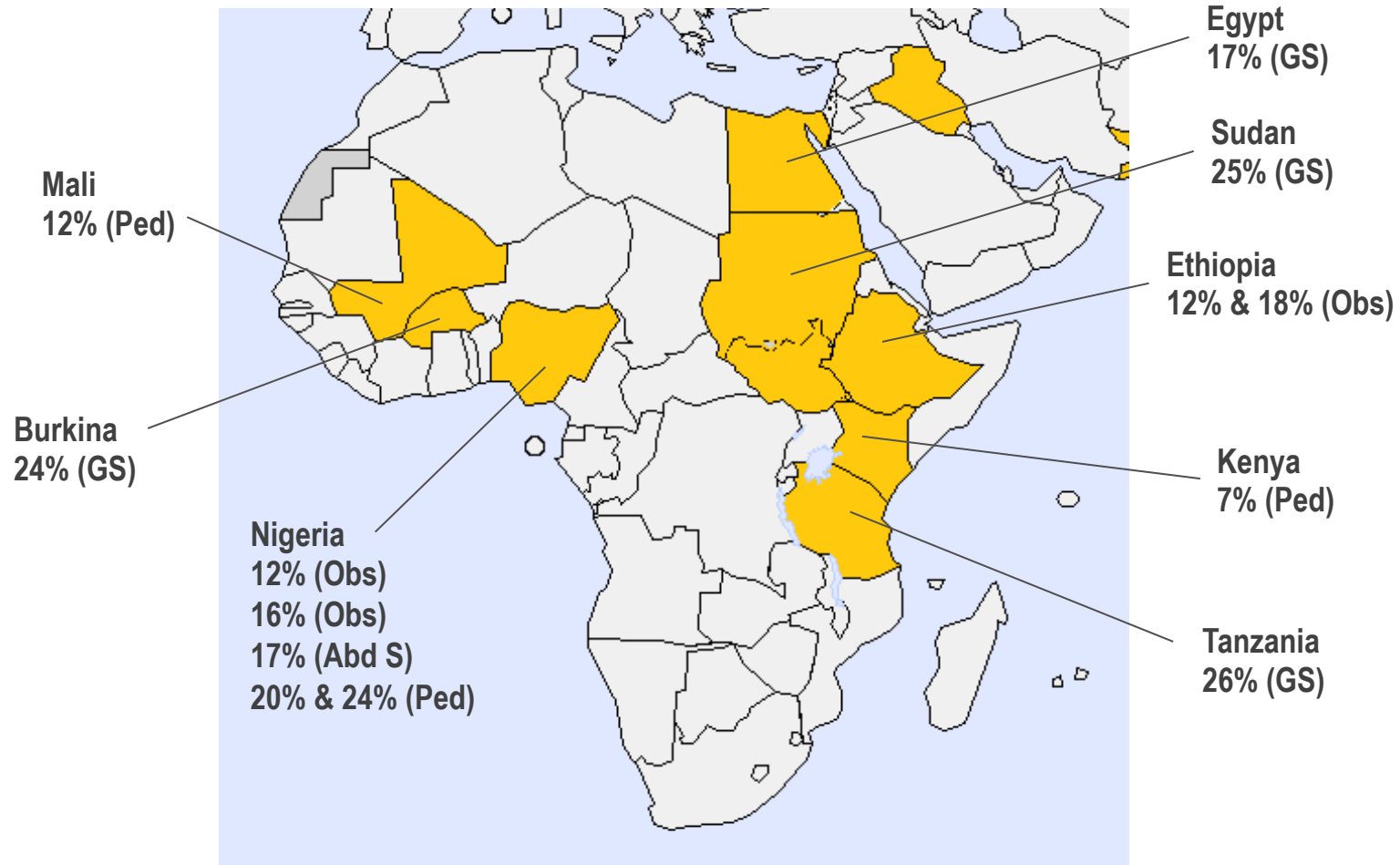
The boundaries and names shown and the designations used on this map do not imply the expression of any opinion whatsoever on the part of the World Health Organization concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. Dotted and dashed lines on maps represent approximate border lines for which there may not yet be full agreement.

Data Source: World Health Organization
 Map Production: Public Health Information and Geographic Information Systems (GIS)
 World Health Organization



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SSI frequency in specific African countries (studies from 2010- 2013)



| Pathogens | Number of isolates (%) (total number of studies 36) | | | | | | | | | |
|---|---|------|--------------------|------|--------------------|------|------------------------|------|-------|------|
| | BSI (5 studies) | % | SSI (20studies) | % | UTI (4 studies) | % | VAP/HAP (7 studies) | % | Total | % |
| <i>S. aureus</i> | 62 | 14.5 | 245 | 20.3 | 4 | 1.1 | 47 | 10.2 | 358 | 14.6 |
| <i>Coagulase Neg Staph</i> | 92 | 21.5 | 92 | 7.6 | 1 | 0.3 | 15 | 3.3 | 200 | 8.2 |
| <i>Enterococcus spp</i> | 48 | 11.2 | 38 | 3.1 | 42 | 12.0 | 1 | 0.2 | 129 | 5.3 |
| <i>E. coli</i> | 25 | 5.8 | 245 | 20.3 | 55 | 15.7 | 6 | 1.3 | 331 | 13.5 |
| <i>Pseudomonas spp</i> | 52 | 12.1 | 210 | 17.4 | 53 | 15.1 | 134 | 29.2 | 449 | 18.3 |
| <i>Enterobacteriaceae (excl E coli)</i> | 49 | 11.4 | 511 | 25.7 | 37 | 10.5 | 92 | 20.0 | 489 | 20.0 |
| <i>Acinebacter spp</i> | 53 | 12.4 | 18 | 1.5 | 23 | 6.6 | 110 | 24.0 | 204 | 8.3 |
| <i>Candida spp</i> | 30 | 7.0 | 13 | 1.1 | 130 | 37.0 | 1 | 0.2 | 174 | 7.1 |
| <i>Other</i> | 17 | 4.0 | 37 | 3.1 | 6 | 1.7 | 53 | 11.5 | 113 | 4.6 |
| Total | 428 | 100 | 1209 | 100 | 351 | 100 | 459 | 100 | 2447 | 100 |

MRSA: 54.5%*

*Allegranzi B et al.
Lancet 2011;377:228-41*

Resistance rates in hospitals worldwide

North America

| | |
|----------------------------------|-------|
| VRE (<i>E. faecium</i>) | 66.1% |
| MRSA | 50.6% |
| ESBL- <i>K. pneumoniae</i> | 9.8% |
| <i>A. baumannii</i> (IMP-R) | 10.9% |
| <i>P. aeruginosa</i> (IMP-R) | 7.7% |
| <i>Enterobacter</i> spp. (CPE-R) | 2.1% |

Europe

| | |
|----------------------------------|-------|
| VRE (<i>E. faecium</i>) | 14.4% |
| MRSA | 24.8% |
| ESBL- <i>K. pneumoniae</i> | 17.0% |
| <i>A. baumannii</i> (IMP-R) | 13.4% |
| <i>P. aeruginosa</i> (IMP-R) | 9.1% |
| <i>Enterobacter</i> spp. (CPE-R) | 6.5% |



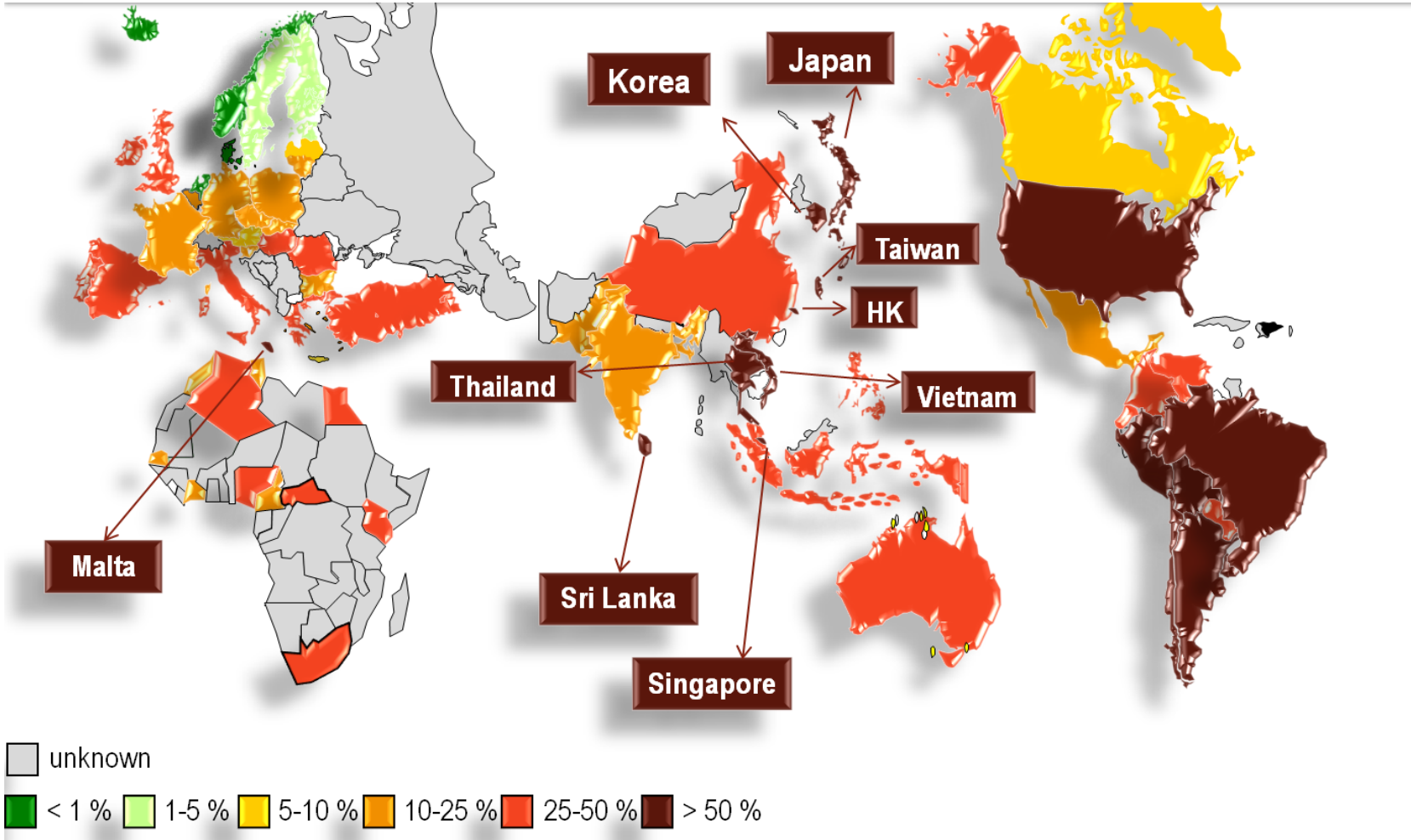
Latin America

| | |
|----------------------------------|-------|
| VRE () | 38.8% |
| ESBL- | 36.2% |
| <i>A. baumannii</i> (IMP-R) | 33.5% |
| <i>P. aeruginosa</i> (IMP-R) | 18.0% |
| <i>Enterobacter</i> spp. (CPE-R) | 13.5% |

Asia Pacific

| | |
|------------------------------|-------|
| VRE (<i>E. faecium</i>) | 21.7% |
| MRSA | 45.0% |
| ESBL- <i>K. pneumoniae</i> | 22.8% |
| <i>A. baumannii</i> (IMP-R) | 24.2% |
| <i>P. aeruginosa</i> (IMP-R) | 9.6% |
| spp. (CPE-R) | 8.4% |

MRSA prevalence in hospital settings



Song JH, Chung DR. et al. 2011;66:1061-9
Grundmann H, et al. Lancet 2006;368:874-85
Stefani S, Chung DR, et al. Int J Antimicrob Agents 2012;39:273-82

Courtesy, Chung DR

Independent risk factors for SSI in LMIC (systematic review factors 2005-2015) SSI in LMIC

| Risk factors | Number of articles |
|---------------------------------|--------------------|
| Duration of surgery | 12 |
| Underlying pathology (diabetes) | 10 |
| Wound class | 9 |
| ASA | 7 |
| Age | 4 |
| Obesity | 4 |
| Duration of admission | 3 |
| Drain (use, duration) | 3 |
| Malnutrition | 2 |
| Sex (female) | 2 |

| Author, year, country | Population | LOS, days | Mortality | Costs |
|---------------------------|-------------------------------|---|-------------------------|---|
| Nigeria 2009, Nigeria | Paediatrics | without 18.0 (1-99) without | vs 4.1% | NA |
| Bhatia, 2003, India | (CABG) graft (CABG) | moderate 20 , severe 25) severe 25) | No SSI related deaths | in mild, moderate, severe infections, severe infections |
| Raka, 2007, Kosovo | Abdominal surgery | without 4 without | NA | NA |
| Thailand , 2005, Thailand | Mixed surgery | Mean excess LOS: 21.3 | | 1355 \$ |
| Kaya, 2006, Turkey | General surgery | Mean excess LOS: 8 | NA | 600 \$ |
| Le, 2006, Vietnam | Orthopaedics and neurosurgery | Median excess LOS: 18 | No mortality difference | NA |

Gaps in SSI surveillance in LMIC

No data from many countries

Inconsistent use of

- Definitions and surveillance methodologies

No data from many countries

Inconsistent use of

- Definitions and surveillance methodologies

- Post-discharge surveillance

Use of N of patients as denominator

Limited data on

- Microbiology and antibiotic resistance

NNIS index and other risk factors



Patient Safety
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SAVE LIVES
Clean Your Hands

Many challenges to preventing SSI in Africa

Patient-related

- eg. Co-morbidities inc HIV, malnutrition

■ Operation-related

- eg. Late presentation → contaminated operations
- eg. Surgeon with poor handwashing technique

■ Microbiological factors

- eg. Colonisation with drug-resistant bacteria

■ Institutional factors

- Construction of building, sterilization services, staffing ...

Risk Models are Needed to Monitor Performance

Different patients, different diseases, and different operations create different risks of infection

risk adjustment that accounts for these differences is critical to allow for meaningful comparisons between surgeons or between hospitals

National Nosocomial Infection Surveillance System Risk Index

One point given for each of the following:

1. patient having an **American Society of Anesthesiologists (ASA)** preoperative assessment score of 3, 4, or 5

One point given for each of the following:

1. patient having an **American Society of Anesthesiologists (ASA)** preoperative assessment

2.

an operation classified as either **contaminated or**

Crude infection rate or risk-adjusted standardised infection ratio?

Does stratifying surgical site infection rates by the National Nosocomial Infection Surveillance risk index influence the rank order of the hospitals in a surveillance system?

S. Brümmer ^{a,1}, C. Brandt ^{b,1}, D. Sohr ^a, P. Gastmeier ^{a,*}

Journal of Hospital Infection (2008) 69, 295e300

The importance of surveillance

Reduction of Surgical Site Infection Rates
Associated With Active Surveillance

C. Brandt,

Reduction of surgical site infections after
Caesarean delivery using surveillance

Impact of a control programme on
surgical site infections in France: results of the
INCIS surveillance

International Journal of Quality in Health Care 2006; Volume 18, Number 2: pp. 127–133
Advance Access publication: 16 February 2006

10.1093/int

Reduced risk of surgical site infections through surveillance in a network

EVELINE L. P. E. GEUBBELS¹, NICO J. D. NAGELKERKE², A. JOKE MINTJES-DE GROOT³, CHRISTINA
M. J. E. VANDENBROUCKE-GRAULS⁴, DIEDERICK E. GROBBEE⁵ AND ANNETTE S. DE BOER¹



Evaluation of surveillance for surgical site infections in Thika Hospital, Kenya

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Surveillance

SUMMARY

Background: In low-income countries, surgical site infections (SSIs) are a very frequent form of hospital-acquired infection. Surveillance is an important method for controlling SSI but it is unclear how this can best be performed in low-income settings.

Aim: To examine the epidemiological characteristics of various components of an SSI surveillance programme in a single Kenyan hospital.

Methods: The study assessed the inter-observer consistency of the surgical wound class (SWC) and American Society of Anesthesiologists (ASA) scores using the kappa statistic. Post-discharge telephone calls were evaluated against an outpatient clinician review 'gold standard'. The predictive value of components of the Centers for Disease Control and Prevention – National Healthcare Safety Network (CDC-NHNS) risk index was examined in patients having major obstetric or gynaecological surgery (OBG) between August 2010 and February 2011.

Findings: After appropriate training, surgeons and anaesthetists were found to be consistent in their use of the SWC and ASA scores respectively. Telephone calls were found

Keywords: ssi, surveillance, Kenya

Telephone calls after discharge

When phone calls and clinical reviews were within 48 hours of each other

| | | Clinician review = GOLD STANDARD | |
|----------------------|--------|-------------------------------------|-----|
| | | No SSI | SSI |
| Phone call status | No SSI | 66 | 7 |
| | SSI | 0 | 16 |

On this basis

Sensitivity of phone call = 69.6% (95%CI 47.1-86.8%)

Specificity of phone call = 100% (95%CI 95-100%)

Why is there so little SSI surveillance in LMIC?

- Lack of dedicated human resources and funds
- Lack of expertise in epidemiology and infection control
- Difficulties in the application of standard definitions:
 - limited expertise
 - lack of reliable microbiological and other diagnostic tools
 - poor-quality information from patient records
 - need to evaluate clinical evidence
- Lack or insufficient microbiology laboratory capacity
- Lack of skills for data interpretation and use
- Existence of different payer sources
- Penalization of hospitals and staff by State Inspection Agency

Allegranzi B et al. Lancet 2011

5 May 2014
**Role of hand hygiene to combat
antimicrobial resistance**
<http://www.who.int/gpsc/5may/en/>



It takes just
5 Moments
to change
the world

Clean your
hands, stop
the spread of
drug-resistant
germs!

Participate in the WHO 5 May 2014 Global Surveys!

A Global Prevalence Survey on Multidrug- Resistant Organisms (MDROs) – to assess and raise awareness of the burden of the five key health case-associated MDROs that have been identified at the global level

WHO Global Prevalence Survey on use of SURGICAL ANTIBIOTIC PROPHYLAXIS - to assess surgical antibiotic prophylaxis prescribing in a wide range of acute health-care facilities

➤ **Find out how to participate at:**

English <http://www.who.int/gpsc/5may/global-surveys/en/>

French <http://www.who.int/gpsc/5may/global-surveys/fr/>

Spanish <http://www.who.int/gpsc/5may/global-surveys/es/>

ICPIC 2015

3RD



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Thank you

WHO Clean Care is Safer Care



Find information at www.who.int/gpsc/5may

Send enquiries to savelives@who.int or
allegranzib@who.int